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Docket No. HRT0303C2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bolduc et al.

Serial No. : 10/792,037

Art Unit: 3731

Filed

: March 3, 2004

Examiner:

For

: Surgical Clips & Methods for Tissue Approximation

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

March 11, 2005
(Date of Deposit)

Brian S. Tomko
(Name of applicant, assignee, or Registered Representative)

/BS Tomko/
(Signature)

March 11, 2005
(Date of Signature)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

that a search has been made, or that information more material to the examination of the present patent application does not exist. In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with 

the first or second After Final Submission, therefore: Statement in Accordance with §1.97(e) (attached); or Please charge Deposit Account No. 10- $\Box$ the fee of \$180.00 as set forth in §1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of: Statement in Accordance with §1.97(e) (attached); or

This statement should not be construed as a representation

 $\boxtimes$ Please charge Deposit Account No. 10-0750/HRT0303C2/BST the fee of \$180.00 as set forth in §1.17(p). In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p). Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith. Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT: In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith. If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request. Copies of only foreign patent documents and nonpatent literature are enclosed in accordance with 37 CFR 1.98 (a) (2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the

| national stage under 35 USC §3 waiver of requirement under 37 | 371 after June 30, 2003 (see USPTO 7 CFR 1.98 (a)(2)(i). |  |  |
|---|--|--|--|
| There are no listed<br>English language.                      | references which are not in the                          |  |  |
| English language.   |  |  |  |
| The relevance of the not in the English language is           | ose listed references which are s as follows:            |  |  |
| Attached are copies   | of search report(s) from                                 |  |  |
| corresponding patent applicat:                                | ion(s), which are listed on the                          |  |  |
| attached Submission Under MPE                                 | P 609 D.   |  |  |
|   |  |  |  |
| Attached are the fo   | llowing non-published pending                            |  |  |
| patent applications which may be deemed relevant, which are   |  |  |  |
| listed on the attached Submiss                                | sion Under MPEP 609 D.                                   |  |  |
|   |  |  |  |
|   | ency or credit any overpayment to                        |  |  |
| Deposit Account No. 10-0750/H                                 | RT0303C2/BST. This form is                               |  |  |
| submitted in triplicate.                                      |  |  |  |
|   | Respectfully submitted,                                  |  |  |
|   | (20.5)   |  |  |
|   | /BS Tomko/<br>Brian S. Tomko                             |  |  |
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Substitute for form 1449A/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

| a collection of information unless it displays a valid OMB control number. |               |  |
|--|---------------|--|
| Application Number   | 10/792,037    |  |
| Filing Date  | 3/3/04        |  |
| First Named Inventor   | Lee R. Bolduc |  |
| Group Art Unit   | 3731          |  |
| Examiner Name  |               |  |
| Attorney Docket Number   | HRT0303C2     |  |
|  |               |  |

**U.S. PATENT DOCUMENTS** U.S. Patent Document Date of Publication Pages, Columns, Lines, Name of Patentee or Applicant Kind Code Examiner Cite of Cited Document where relevant passages or of Cited Document Initials relevant figures appear Number (if known) mm-dd-yyyy No.1 07/29/1980 4,214,587 Sakura, Jr. 5,234,447 Kaster et al. 08/10/1993

**FOREIGN PATENT DOCUMENTS** Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or passages or relevant Examiner Cite mm-dd-yyyy Applicant of Cited Document figures appear KindCode<sup>5</sup> Office<sup>3</sup> Number<sup>4</sup> No.1

| Examiner   | Date        |             |              |
|------------|-------------|-------------|--------------|
| Caminer    | Date        |             |              |
| Signature  | Considered  |             |              |
| Olgitature | 00110100100 | <del></del> | <del> </del> |

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.